

Job Title Supervisory Attorney-Adviser

Location Washington, DC

Compensation \$156,407 to \$246,900 / Per Year

Series & Grade SK-17

Position Number 19-EX-10502649-CMH

Application Deadline May 31, 2019

Summary

The Supervisory Attorney-Adviser will join a well-respected team that is responsible for evaluating the operation of the Investment Advisers Act and the Commission rules thereunder; for recommending legislative and regulatory changes designed to produce a coherent regulatory structure consistent with the policy objectives of the Act; and for the organization, operation, and execution of all related work programs.

Responsibilities

- Reviewing the laws and rules that regulate investment adviser and investment companies to determine whether the Commission should revise or adopt certain rules or amendments; developing rules that codify certain repetitive administrative actions such as exemptive orders or interpretive positions; and drafting proposed rules, regulations, amendments, and new legislation.
- Managing and overseeing rule making projects, from the initial, formative stages through the Commission's consideration and issuance of proposing and adopting releases.
- Representing the Commission in various matters and advising other Commission programs related to the regulation of investment advisers, such as enforcement and examination matters, disclosure of information by registrants.
- Carrying out supervisory duties, such as performance management, training, awards, and recruitment; keeping employees informed regarding the policies, procedures, and goals of the Commission as they relate to their work on assigned projects.
- Communicating with the Division's Director, Deputy Director, Associate Directors, and with others inside and outside the Division, about progress and developments in rule making matters.

Qualifications

(SK-17): Applicant must have **4 year(s)** of post J.D. work experience as a practicing attorney, **3 year(s)** of which includes: providing legal advice, researching and providing legal interpretation of statutes, and implementing regulations; interpreting and applying the provisions of the Investment Advisers Act or Investment Company Act, as well as the Securities Act and Exchange Act.

To Apply

To learn more about this employment opportunity and to apply online, please visit https://go.usa.gov/xmwAW
For assistance, please contact the Office of Minority and Women Inclusion at (202) 551-6046 or omwi@sec.gov.

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