

Broker Meeting, Thursday, October 15, 2026



U.S. Customs and
Border Protection

Good Morning.

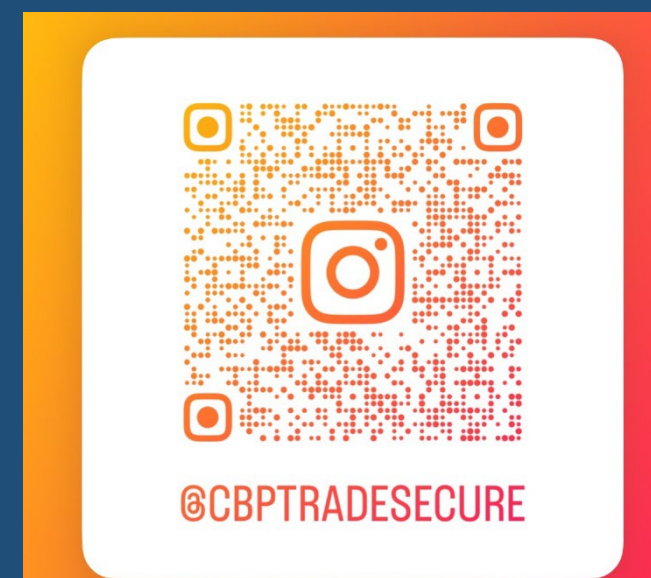
Welcome to the IN-PERSON JFK Quarterly Brokers Meeting for Thursday, October 15, 2026

We will begin at 10 AM.

For those members that can not attend in-person and will participate via Webex
Please keep yourselves on mute and disengage your video.

Please use the Chat function for questions.

Follow the CBP Trade Secure on Instagram
Scan and follow!



Contact Jeffrey Grzesik at JEFFREY.R.GRZESIK@CBP.DHS.GOV after the meeting for any follow-up issues or concerns.



- Consolidated Administration and Processing of Entries (CAPE) Refunds - Phase 3
- Executive Order 14411
- CBP Form 5106 Create/Update Importer Identity Form Guidance
- C-TPAT – Foreign Importers
- BMO – Road to Retirement/Business Closure
- CPSC

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U.S. Customs and
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NOW LIVE: CAPE PHASE 3

For IEEPA duty refunds



CAPE Phase 3 – Finally Liquidated Entries & Litigant Processing



U.S. Customs and
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Launch Date: Officially operational as of October 6, 2026.

1. Core Overview

Program: Consolidated Administration and Processing of Entries (CAPE) Framework in the Automated Commercial Environment (ACE).

Scope: Final stage of CAPE, specifically **targeting finally liquidated entries** (entries liquidated more than 80 days ago that exceed standard administrative reliquidation windows).

2. Eligibility & Legal Mandate

Restricted Track: Unlike Phases 1 and 2, Phase 3 utilizes a strict dual-track system.

Litigation Requirement: **Restricted exclusively to plaintiff importers who have filed individual lawsuits before the U.S. Court of International Trade (CIT) and obtained court-ordered reliquidation instructions.**

Credentials: Importers must have submitted a valid Importer of Record (IOR) number to CBP to clear ACE validation checks. Non-litigant entries are automatically blocked by system logic.

3. Processing Mechanics in ACE

Bulk Submissions: Importers or authorized customs brokers submit structured CAPE declarations via the ACE Portal.

System Validations: ACE cross-references entry numbers, IOR matches, Chapter 99 HTS parameters, and CIT reliquidation status.

Financial Reconciliation: Processes eligible International Emergency Economic Powers Act (IEEPA) duty refunds and associated interest on a consolidated basis.

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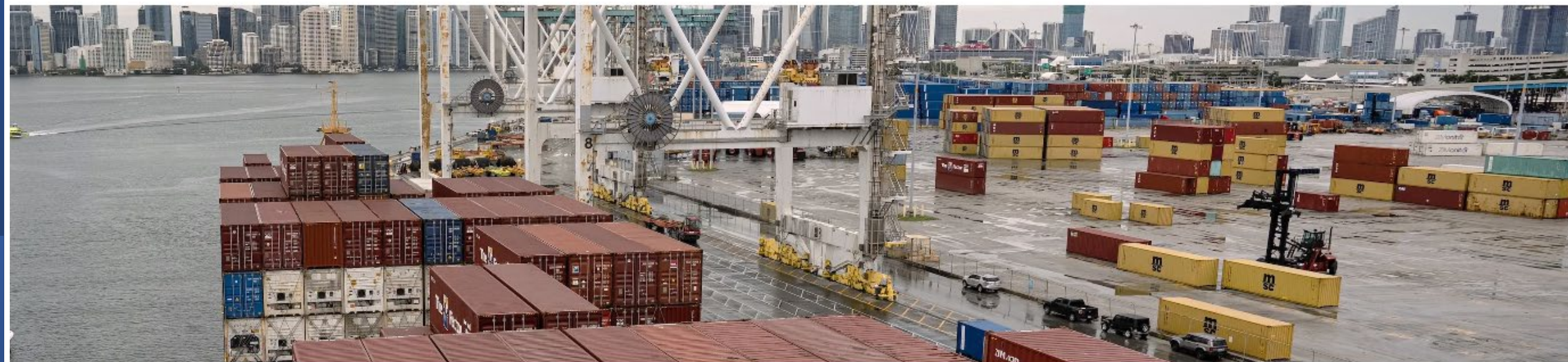
CBP Strengthening Customs Enforcement

White House Signs Executive Order (Press Release)

Federal Register - Executive Order 14411 of June 3, 2026

Executive Order 14411 of June 3, 2026 Strengthening Customs Enforcement

Strengthening Customs Enforcement



Announcements

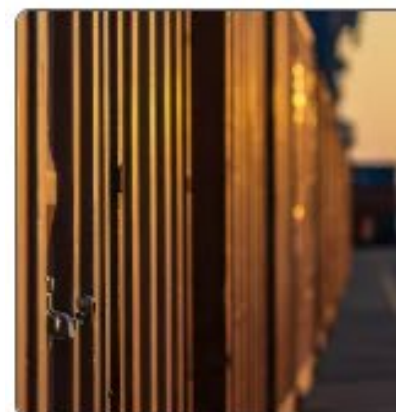
CBP Proposes Updates to Low-Value Shipment Entry Requirements

In accordance with Executive Order 14411, CBP has published a Notice of Proposed Rulemaking (NPRM) that proposes to amend the CBP regulations to require bonds for all informal entries. This is but one of the changes proposed in the NPRM, which is broadly intended to modernize low-value shipment processing. The NPRM seeks to improve data availability and filing timeliness to protect the revenue and enhance national security across all modes of transportation, and it includes a new electronic entry type for international mail shipments. For more information, read the [full NPRM](#) and submit comments during the 60-day comment period ending December 7, 2026.

Note: CBP's voluntary [electronic Entry Type 13 process for postal shipments](#), announced in June 2026, remains available for participation. This NPRM is a separate rulemaking that proposes future requirements for the electronic entry of low-value shipments.

The "Strengthening Customs Enforcement" executive order paves the way for overdue reforms to modernize U.S. customs and trade enforcement. The executive order empowers CBP to develop tools, including unified standards for all U.S. importers, stricter requirements for foreign entities, enhanced transparency, and increased accountability. These changes level the playing field, protect American consumers, secure U.S. revenue, and represent a pivotal step in safeguarding the nation's economic and national security.

This forward-looking executive order enhances customs and trade enforcement, fostering a safer and more reliable marketplace for American businesses and consumers while ensuring the integrity of goods entering the United States. For U.S. importers committed to compliance, the reforms are a win—they increase consequences for competitors who engage in unfair or illegal practices such as transshipment, undervaluation, and misdeclaration. These actions reinforce the President's America First Trade Policy by protecting the U.S. economy and its workers from unfair competition and schemes designed to evade U.S. customs and trade laws.



ACE Update

New "Inactive for Entry Purposes" status goes live!

[More updates from Instagram](#)



Customs Reform Executive Order



U.S. Customs and
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STRENGTHENING CUSTOMS ENFORCEMENT: SUMMARY OF EXECUTIVE ORDER (JUNE 3, 2026)

BY THE AUTHORITY VESTED IN THE PRESIDENT OF THE UNITED STATES

KEY OBJECTIVES & REFORMS



**National
Security**

**Modernize lawful Trade processes,
Increasing transparency**



**Promote
Timely Collection
of Duties**



**Economic
Protection**

Unlawful Imports

- Forced Labor
- Dangerous goods
- Intellectual property infringement

- Undervalued Imports

SECTION 3. IMPORT DISCLOSURE & CERTIFICATION



Certify Supply Chain Compliance
• Forced labor laws, CAATSA



- Disclose Foreign Tax / Business Identifiers



Provide Detailed Supply Chain Data
• Manufacturer identifiers, spec composition



**Within 90 days:
Mandatory Submission of Export Docs**

SECTION 2. IMPORTERS OF RECORD (IORs) & BANNED GOODS

REVISED IOR ELIGIBILITY (180 DAYS)



- Minimum Tangible Domestic Assets



- Increased Minimum Bond Coverage



- New Data & Ownership Disclosures



- Shell companies specifically excluded

Required for ALL Formal & Informal Entries



HEIGHTENED REQUIREMENTS FOR FOREIGN IORs (FORMAL ENTRY)



Continuous Bond restrictions



Must be "CTPAT-Validated"



BAN ON FOREIGN IORs (INFORMAL ENTRY)

- Low-value articles
- High volumes
- Low familiarity with U.S. Law
- Low penalties
- Revenue rule challenges



**Must be
"CTPAT-Validated"
on access
or use CTPAT**

REGISTRIES, GOOD STANDING & VETTING (180 DAYS)



- Maintain Good Standing
- Details certifies compliance
- Most banned substances like fentanyl
- Non-compliant Banned



- Updated Registry
- Remove inactive, risk-based firms



- Enhanced Vetting
- All IORs, brokers, and freight forwarders

SECTION 4. ENFORCEMENT & PENALTIES

- ✓ Bolster Customs Enforcement
- ✓ Liquidated Damages against Bonds
- ✓ Maximum Penalties for Brokers
 - Fail due diligence, repeat noncompliance
 - Audits increased

- ✓ Priorities:
 - Forced Labor
 - Misclassification
 - Undervaluation
 - Illegal Transshipment

Within 90 days:

- Strict Mitigation Standards
- Minimum Penalty Floor (50%+)



SECTION 5. STREAMLINED DISPOSAL & TRANSPARENCY

(Within 90 days) • Expedite Seizure/Disposal



- Reduced voluntary abandonment burdens
- Authorize third-party disposal



- Enhance Transparency
- Confidentiality review
- Annual enforcement reports

TIMELINE

Legislation
(45 Days)

Penalties, Disposal,
Foreign Data,
Transparency
(90 Days)

IOR Regs, Ban,
Vetting,
Registry
(180 Days)

Effectiveness
Report
(1 Year)



DONALD J. TRUMP
THE WHITE HOUSE



CBP Form 5106 - Create/Update Importer Identity Form

[CBP Form 5106.pdf](#)

DEPARTMENT OF HOMELAND SECURITY
U.S. Customs and Border Protection

OMB CONTROL NUMBER 1651-0064
EXPIRATION DATE: 06/30/2027

CREATE/UPDATE IMPORTER IDENTITY FORM
19 CFR 24.5

As the importer, consignee, or other party listed in block 1, you are responsible for the validity of the information provided in this document. Any Customs Broker or third party who is submitting the information on your behalf is only obligated to convey this information to Customs and Border Protection (CBP).

TYPE OF ACTION (Mark all applicable): ☐ Notification of Identification Number ☐ Change of Name ☐ Change of Address

All the data elements with a red asterisk are required

1. NAME AND IDENTIFICATION NUMBER

*1A. Importer/Business/Private Party Name:

*1B. Internal Revenue Service (IRS) Employer Identification Number (EIN), Social Security Number (SSN), or CBP-Assigned Number:

Number Type: (Select Only One)

☐ IRS Employer Identification Number (EIN)

☐ Social Security Number (SSN)

☐ CBP-Assigned Number

☐ Requesting a CBP-Assigned Number

1C. ☐ DIV ☐ AKA ☐ DBA

1D. DIV/AKA/DBA Name:

1E. ☐ I wish to be assigned a CBP Number. Check here if requesting a CBP-assigned number and indicate reason(s).

If you marked yes to receive a CBP assigned number, indicate the reasons why. Check all that apply.

☐ I have a SSN, but wish to use a CBP-Assigned Number on all my entry documents

☐ I have no Social Security Number

☐ I have no IRS Number

☐ I have not applied for an IRS number or SSN

☐ I am not a U.S. Resident

1F. CBP-Assigned Number:

1G. Type of Company:

☐ Corporation

☐ Partnership

☐ LLC

☐ Sole Proprietorship

☐ Individual

☐ U.S. Government

☐ State/Local Government

☐ Foreign Government

1H. If you are an importer, how many entries do you plan on filing in a year? Select from the following:

☐ 1-4 per year

☐ 5-24 per year

☐ 25 or more per year

☐ infrequent personal shipments

or

☐ I do not intend to import.

1I. How will the identification number be utilized? Select all options that will apply:

☐ Importer of Record

☐ Consignee/Ultimate Consignee

☐ Drawback Claimant

☐ Refunds/Bills

or

☐ Other

1J. Program Code 1:

1K. Program Code 2:

1L. Program Code 3:

1M. Program Code 4:

2. ADDRESS INFORMATION

2A. MAILING ADDRESS (Each street address line can be no more than 32 characters)

*Street Address 1:

*City:

*State/Province:

Street Address 2:

Zip Code:

Country ISO Code:

*Is the address in 2A, a:

☐ Residence

☐ Corporate Office

☐ Warehouse

☐ Retail Location

☐ Office Building

☐ Business Service Center

☐ Post Office Box

or

☐ Other - Explain:

2B. PHYSICAL LOCATION ADDRESS (Required only if different than mailing address. Each street address line can be no more than 32 characters)

*Street Address 1:

*City:

*State/Province:

Street Address 2:

Zip Code:

Country ISO Code:

*Is the address in 2B, a:

☐ Residence

☐ Corporate Office

☐ Warehouse

☐ Retail Location

☐ Office Building

☐ Other - Explain:

CBP Form 5106 (06/26)

Page 1 of 5

Best Practice Recommendation for Brokers

- Although these fields are largely optional, leaving them blank can sometimes trigger automated risk-assessment flags or requests for information (RFIs) from CBP Centers of Excellence and Expertise (CEEs). Advising clients to supply as much complete, accurate background information as possible on initial filings streamlines entry processing and Automated Commercial Environment (ACE) portal account management.
- Individual Centers sometimes apply varying local review standards or request additional supporting documentation based on commodity-specific risk profiles or industry oversight.
- CBP strongly encourages complete submissions to prevent cargo release holds, entry processing delays, or complications with duty refunds.



New York/New Jersey Broker Management Office



U.S. Customs and
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Give your BMO the Map Before you Ride off into Retirement or Close your Organization:

There are 2 separate steps:

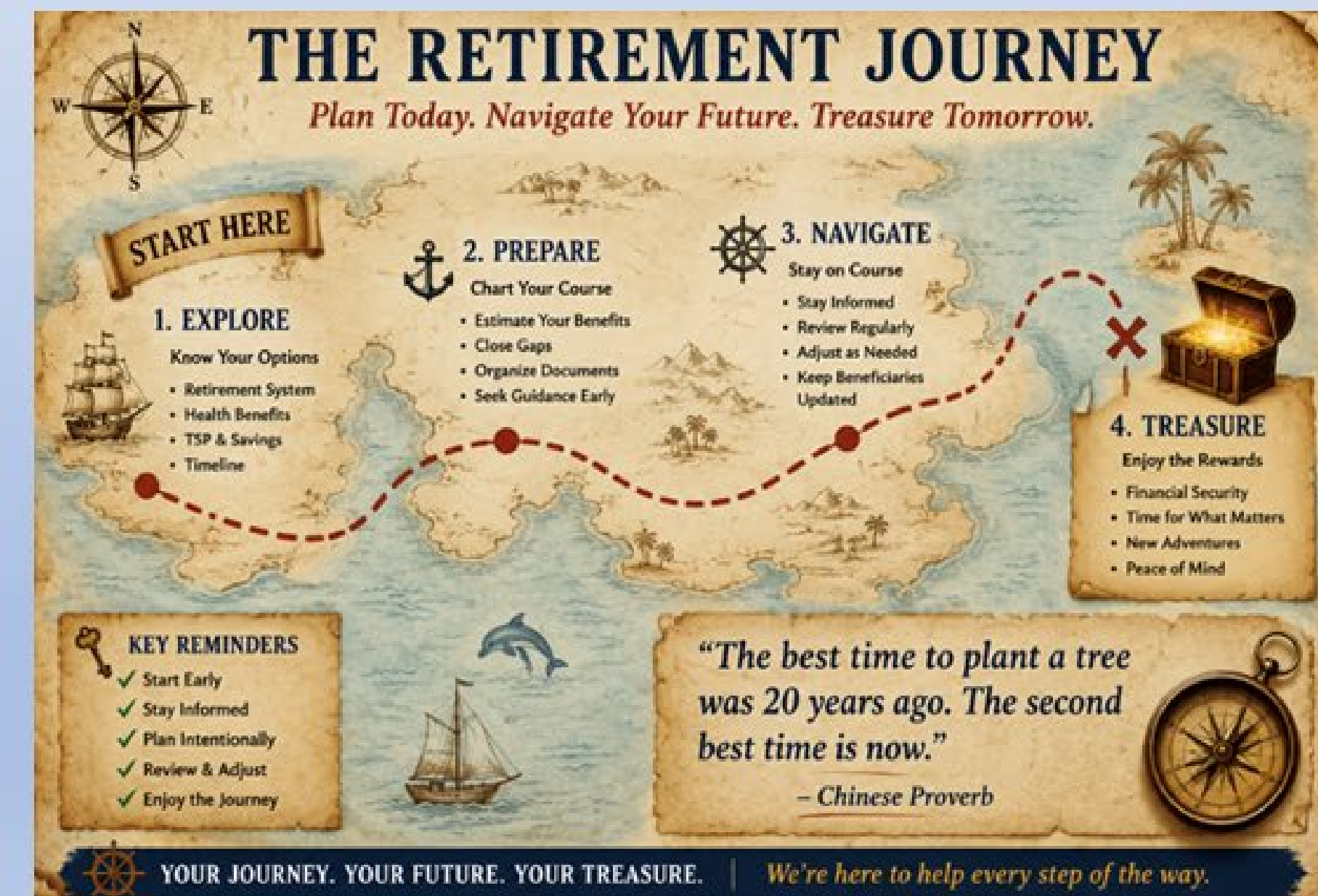
1. **CANCEL**

- License and Permit – Send a written request to NY/NJ BMO at JFKBMO@cbp.dhs.gov

2. **CLOSE**

- Brokerage business – Close outstanding customs business and name the legal records custodian.

§ 111.51 Cancellation of license or permit





New York/New Jersey Broker Management Office



U.S. Customs and
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Individual CBL: I am retiring. Q. What do I submit?

A. Written request to JFKBMO@cbp.dhs.gov

Your request should include:

- Your name as it appears on your CBL and your CBL#
- State expressly whether you wish to cancel your CBL “without prejudice” or “with prejudice”
- Return the original CBL
- Answer 2 questions:
 - Did you qualify a CBL/Permit. If yes, provide names, address, and dates. Provide replacement name or POC.
 - Were you employed by another LCB?

Cancel the license



"It's my letter of resignation.
I also turned it into a memoir."



New York/New Jersey Broker Management Office

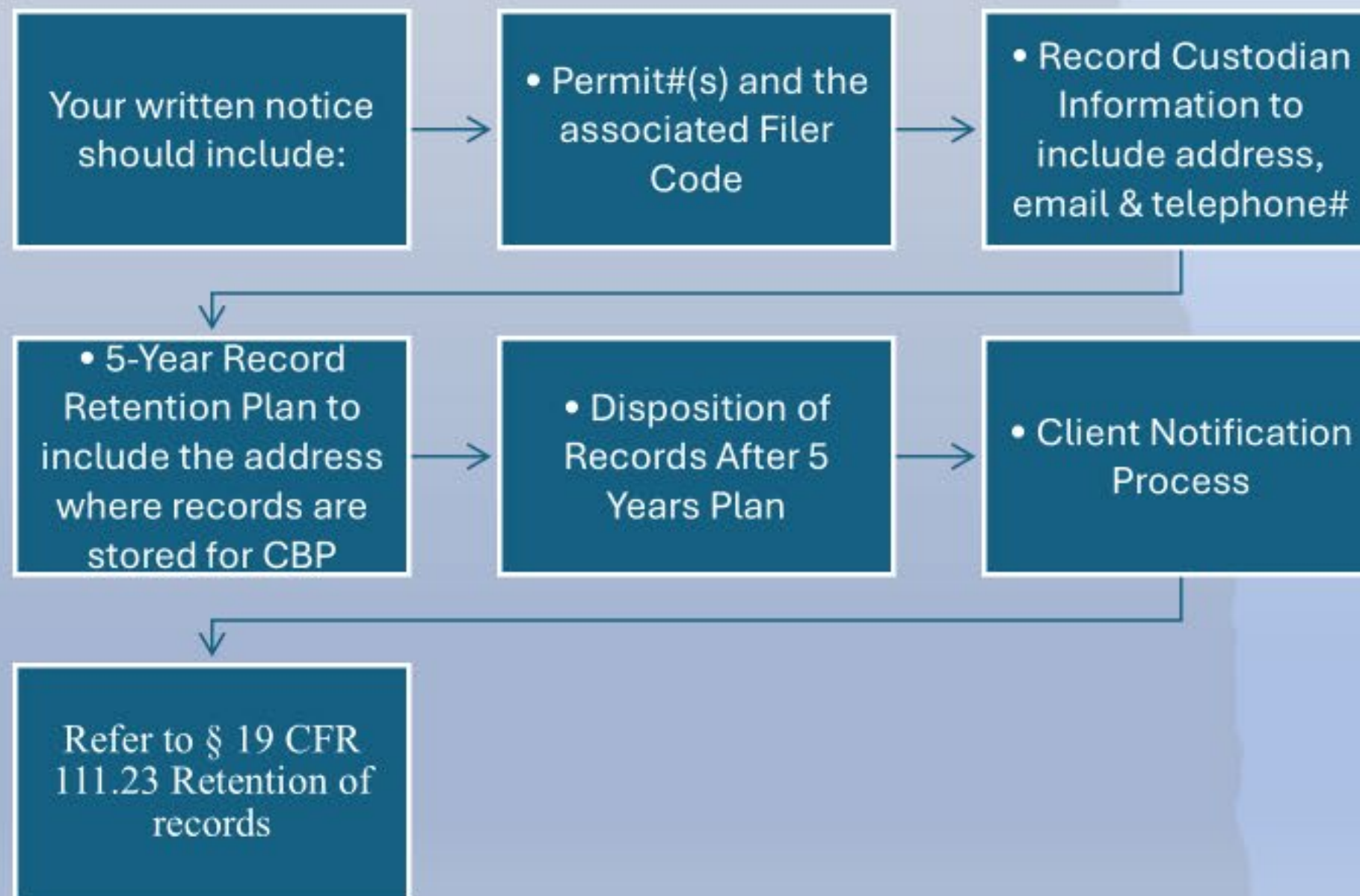


U.S. Customs and
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Q. I am a Sole Proprietor cancelling my CBL. Are there additional documents to submit?

**A. Yes, Written notice to
JFKBMO@cbp.dhs.gov**

**Cancel the permit “without prejudice” or
“with” and surrender your original permits**





New York/New Jersey Broker Management Office



U.S. Customs and
Border Protection

I want to close my Licensed Customs Broker Organization. What do I submit?

For a partnership, association or corporation ending its brokerage business your written request should include:

- Identify the organization name and CBL#
- Request the cancellation of its CBL and National Permit# including the associated Filer Code
- Specify you are cancelling “without prejudice” or “with prejudice”
- Surrender original CBL and all original permits
- Record Custodian Information and include the name, address of custodian, email and phone#.
- 5-Year Record Retention Plan to include the address where records will be stored for CBP access
- Disposition of Records After 5 Years Plan
- Client Notification Process

Cancel organization authority for CBL and National Permit

CBP GUIDANCE: Close all outstanding customs business first.

References: 19 CFR §§ 111.15, 111.23, 111.25, 111.30, 111.51

CBP Customs Broker Guidance for the Trade Community v.2.0, Chapter 8.D, p.50, Ch. 8.F, p. 52; Ch. 8.G, p. 53

Send all paper documents to:

NY/NJ BMO

Port of JFK Airport Cargo Bldg. 77

Jamaica, NY 11430



For questions, please
reach out to CPSC at
EFilingSupport@cpsc.gov.

CPSC Guidance and HTS List for the Electronic Filing (eFiling) of Certificates of Compliance OCTOBER 2026

The guidance and list below are provided to assist the trade community, namely importers and brokers, with complying with CPSC's eFiling requirement. (<https://www.cpsc.gov/eFiling>)

This booklet contains a list of approximately 600 Harmonized Tariff Schedule (HTS) codes that likely include a product subject to a mandatory standard or are otherwise deemed high-risk. However, it is important to note that it does not encompass all HTS codes where an electronic certificate may be required.

The importer is responsible for eFiling a certificate whenever required. This booklet seeks to assist the trade community in understanding when CPSC and CBP will coordinate to flag specific HTS codes, or when a voluntary disclaim message may be filed, which may benefit an importer's risk score in CPSC's system.

The trade community is also encouraged to review CPSC's 1USG Messaging guidance, as any entry with an eFiled certificate (a CPSC PGA Message Set) will be subject to the 1USG Messaging process. Beyond the products likely subject to certification, and therefore eFiling, there are some additional products not subject to certification where entries will still undergo the 1USG messaging process – please see the messaging guidance document for those product categories. ([Microsoft Word - 2Way Messaging for CPSC Guidance Document - July 24 Final](#))

CPSC
CP1 and CP2 HTS Codes
Will be provided as a
PDF document separately

[illegible]



Continuing Education



- OCTOBER 15, 2026, BROKER'S MEETING **CREDIT CODE: CBP-102026-8-0101**
- THIS IS AN ACCREDITED EVENT WHICH WILL GRANT TWO CREDIT HOURS OF CONTINUING EDUCATION FOR LICENSED CUSTOMS BROKERS

Broker Meeting, Thursday, October 15, 2026



U.S. Customs and
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Questions / Comments / Feedback?



Addition information from the meeting

Branch Chief CBPO Daniel E. Huss – Cargo Operations

Email: daniel.e.huss@cbp.dhs.gov

Supervisory Supply Chain Security Specialist Michael J. Smith

Email: michael.j.smith2@cbp.dhs.gov

HQ H350722

<https://rulings.cbp.gov/ruling/H350722>

[Validating the Power of Attorney and Electronic Signatures | U.S. Customs and Border Protection](#)

[Electronic Signatures on the Power of Attorney FAQs | U.S. Customs and Border Protection](#)