

SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA – Additional Duties Assessed								
Action	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	Duty	CSMS	CAMS
\$34B Action	Tranche 1	83 FR 28710	6/20/2018	7/6/2018	9903.88.01	Plus 25%	18-000409	None
\$16B Action	Tranche 2	83 FR 40823	8/16/2018	8/23/2018	9903.88.02	Plus 25%	18-000493	None
\$200B Action	Tranche 3	83 FR 47974	9/21/2018	9/24/2018	9903.88.03	Plus 10%	18-000554	None
					9903.88.04			
\$200B Action	Tranche 3	84 FR 20459	5/9/2019	5/10/2019	9903.88.03	Plus 25%	19-000238	2264
					9903.88.04			
\$200B Action	Tranche 3 “On the Water Provision“	84 FR 21892	5/15/2019	05/10/2019 before 6/15/2019	9903.88.09	Plus 10%	19-000238	2264
		84 FR 26930 (Date Extension)	6/10/2019				19-000274	2288
								19-000296
\$300B Action	Tranche 4	84 FR 43304	8/20/2019	9/1/2019	9903.88.15 <i>Annex A</i>	Plus 10%	39473933	2372
				12/15/2019	9903.88.16 <i>Annex C</i>			
\$300B Action	Tranche 4	84 FR 45821	8/30/2019	9/1/2019	9903.88.15	Plus 15%	39587690	2383
		85 FR 3741	1/22/2020	2/14/2020	<i>Annex A</i>	Plus 7.5%	41538917	2537
		84 FR 69447	12/18/2019	12/15/2019	9903.88.16 <i>Annex C</i>	<i>Suspended</i>	41538917	2537
The reference to “sets” in 84 FR 49591 pertains to so-called “GRI 1 sets” Sets included in Tranche 3 or Tranche 4 – which are provisions in the HTSUS that identify a specific type of “set” (e.g. tool sets of heading 8206). If both the set and the component(s) are subject to Section 301 duties the below HTS# will be transmitted with the component which has the highest duty rate; thus applying the Tranche rate specific to the component and not the set.								
Sets/Tranche 3	Tranche 1	84 FR 49591	9/20/2019	9/24/2018	9903.88.21	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 2	84 FR 49591	9/20/2019	9/24/2018	9903.88.22	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 3	84 FR 49591	9/20/2019	9/24/2018	9903.88.23	Plus 25% <i>Plus 10%</i> <i>if “on the water”</i>	40003027	2416
Sets/Tranche 3	Tranche 4	84 FR 49591	9/20/2019	9/24/2018	9903.88.24	Plus 15%	40003027	2416
		85 FR 3741	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537
Sets/Tranche 4	Tranche 1	84 FR 49591	9/20/2019	9/1/2019	9903.88.25	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 2	84 FR 49591	9/20/2019	9/1/2019	9903.88.26	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 3	84 FR 49591	9/20/2019	9/1/2019	9903.88.27	Plus 25% <i>Plus 10%</i> <i>if “on the water”</i>	40003027	2416
Sets/Tranche 4	Tranche 4	84 FR 49591	9/20/2019	9/1/2019	9903.88.28	Plus 15%	40003027	2416
		85 FR 3741	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537

**SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA - Product Exclusions Granted**

<b>Round</b>	<b>Tranche</b>	<b>Federal Register Notice</b>	<b>Publication Date</b>	<b>Effective Date</b>	<b>HTS</b>	<b>U.S. note</b>	<b>Expiration Date</b>	<b>CSMS</b>	<b>CAMS</b>
1 <sup>st</sup>	Tranche 1 - \$34B	83 FR 67463	12/28/2018	7/6/2018	9903.88.05	20(h)	12/28/2019	19-000052	2116
		84 FR 70616	12/23/2019		9903.88.05 extension	20(h)	12/28/2020	41167371	
2 <sup>nd</sup>	Tranche 1 - \$34B	84 FR 11152	3/25/2019	7/6/2018	9903.88.06	20(i)	3/25/2020	19-000155	2208
		85 FR 15849	3/19/2020		9903.88.06 extension	20(i)	3/25/2021	42180527	2618
3 <sup>rd</sup>	Tranche 1 - \$34B	84 FR 16310	4/18/2019	7/6/2018	9903.88.07	20(j)	4/18/2020	19-000212	2244
		85 FR 20332	4/10/2020		9903.88.07 extension	20(j)	4/18/2021	42355914	2649
4 <sup>th</sup>	Tranche 1 - \$34B	84 FR 21389	5/14/2019	7/6/2018	9903.88.08	20(k)	5/14/2020	19-000244	2268
		85 FR 29503	5/15/2020		9903.88.08 extension	20(k)	12/31/2020	42839255	2723
5 <sup>th</sup>	Tranche 1 - \$34B	84 FR 25895	6/4/2019	7/6/2018	9903.88.10	20(m)	6/4/2020	19-000332	2318
		85 FR 33775	6/2/2020	6/4/2020	9903.88.50 extension	20(ccc)	12/31/2020	43043838	2749
6 <sup>th</sup>	Tranche 1 - \$34B	84 FR 32821	7/9/2019	7/6/2018	9903.88.11	20(n)	7/9/2020	38840764	2328
		85 FR 41267	7/9/2020	7/9/2020	9903.88.52 extension	20(eee)	12/31/2020	43400564	2807
7 <sup>th</sup>	Tranche 1 - \$34B	84 FR 49564	9/20/2019	7/6/2018	9903.88.14	20(q)	9/20/2020	40002982	2415
		85 FR 59587	9/22/2020	9/20/2020	9903.88.58 extension	20(kkk)	12/31/2020	44243021	2928
8 <sup>th</sup>	Tranche 1 - \$34B	84 FR 52567	10/2/2019	7/6/2018	9903.88.19	20(x)	10/2/2020	40330403	2446
		85 FR 62782	10/5/2020	10/2/2020	9903.88.60 extension	20(mmm)	12/31/2020	44451479	2988
9 <sup>th</sup> *	Tranche 1 - \$34B	84 FR 69016	12/17/2019	7/6/2018	amendment	N/A	10/1/2020	N/A	N/A
10 <sup>th</sup> *	Tranche 1 - \$34B	85 FR 7816	2/11/2020	7/6/2018	amendment	N/A	10/1/2020	N/A	N/A
11 <sup>th</sup> *	Tranche 1 - \$34B	85 FR 85831	12/29/2020	1/1/2021	9903.88.62	20(ooo)	3/31/2021	45318223	3103
1 <sup>st</sup>	Tranche 2 - \$16B	84 FR 37381	7/31/2019	8/23/2018	9903.88.12	20(o)	7/31/2020	39169565	2350
		85 FR 45949	7/30/2020	7/31/2020	9903.88.54 extension	20(ggg)	12/31/2020	43600625	2831
2 <sup>nd</sup>	Tranche 2 - \$16B	84 FR 49600	9/20/2019	8/23/2018	9903.88.17	20(v)	9/20/2020	40001360	2414
		85 FR 59595	9/22/2020	9/20/2020	9903.88.59 extension	20(III)	12/31/2020	44198137	2922
3 <sup>rd</sup>	Tranche 2 - \$16B	84 FR 52553	10/2/2019	8/23/2018	9903.88.20	20(y)	10/2/2020	40208881	2429
		85 FR 62786	10/5/2020	10/2/2020	9903.88.61 extension	20(nnn)	12/31/2020	44450418	2986
4 <sup>th</sup> *	Tranche 2 - \$16B	85 FR 85831	12/29/2020	1/1/2021	9903.88.63	20(ppp)	3/31/2021	45318223	3103
1 <sup>st</sup>	Tranche 3 - \$200B	84 FR 38717	8/7/2019	9/24/2018	9903.88.13	20(p)	8/7/2020	39268267	2357
2 <sup>nd</sup>	Tranche 3 - \$200B	84 FR 49591	9/20/2019	9/24/2018	9903.88.18	20(w)	8/7/2020	40003027	2416

3 <sup>rd</sup>	Tranche 3 - \$200B	84 FR 57803	10/28/2019	9/24/2018	9903.88.33	20(ll)	8/7/2020	40564257	2456
4 <sup>th</sup>	Tranche 3 - \$200B	84 FR 61674	11/13/2019	9/24/2018	9903.88.34	20(mm)	8/7/2020	40710742	2466
5 <sup>th</sup>	Tranche 3 - \$200B	84 FR 65882	11/29/2019	9/24/2018	9903.88.35	20(nn)	8/7/2020	40901928	2479
6 <sup>th</sup>	Tranche 3 - \$200B	84 FR 69012	12/17/2019	9/24/2018	9903.88.36	20(oo)	8/7/2020	41052773	2496
7 <sup>th</sup>	Tranche 3 - \$200B	85 FR 549	1/06/2020	9/24/2018	9903.88.37	20(pp)	8/7/2020	41702837	2563
8 <sup>th</sup>	Tranche 3 - \$200B	85 FR 6674	2/5/2020	9/24/2018	9903.88.38	20(qq)	8/7/2020	41834749	2573
9 <sup>th</sup>	Tranche 3 - \$200B	85 FR 9921	2/20/2020	9/24/2018	9903.88.40	20(ss)	8/7/2020	41998518	2592
10 <sup>th</sup>	Tranche 3 - \$200B	85 FR 15015	3/16/2020	9/24/2018	9903.88.41	20(tt)	8/7/2020	42048963	2598
11 <sup>th</sup>	Tranche 3 - \$200B	85 FR 17158	3/26/2020	9/24/2018	9903.88.43	20(vv)	8/7/2020	42181055	2619
12 <sup>th</sup>	Tranche 3 - \$200B	85 FR 23122	4/24/2020	9/24/2018	9903.88.45	20(xx)	8/7/2020	42566220	2681
13 <sup>th</sup>	Tranche 3 - \$200B	85 FR 27489	5/08/2020	9/24/2018	9903.88.46	20(yy)	8/7/2020	42693720	2707
14 <sup>th</sup>	Tranche 3 - \$200B	85 FR 32094	5/28/2020	9/24/2018	9903.88.48	20(aaa)	8/7/2020	43044185	2750
15 <sup>th*</sup>	Tranche 3 - \$200B	85 FR 48600	8/11/2020	8/7/2020	9903.88.56 extension	20(iii)	12/31/2020	43751936	2863
16 <sup>th*</sup>	Tranche 3 - \$200B	85 FR 52188	8/24/2020	9/24/2018	amendment	N/A	8/7/2020	43828656	2880
17 <sup>th*</sup>	Tranche 3 - \$200B	85 FR 57925	9/16/2020	8/7/2020	9903.88.56 amendment	20(iii)	12/31/2020	44172343	2921
18 <sup>th*</sup>	Tranche 3 - \$200B	85 FR 72748	11/13/2020	9/24/2018	9903.88.46 amendment	20(yy)(75)	8/7/2020	44905878	3055
19 <sup>th*</sup>	Tranche 3 - \$200B	85 FR 85831	12/29/2020	1/1/2021	9903.88.64	20(qqq)	3/31/2021	45318223	3103
1 <sup>st</sup>	Tranche 4 - \$300B	85 FR 13970	3/10/2020	9/1/2019	9903.88.39	20(rr)	9/1/2020	41955151	2588
2 <sup>nd</sup>	Tranche 4 - \$300B	85 FR 15244	3/17/2020	9/1/2019	9903.88.42	20(uu)	9/1/2020	42049352	2599
3 <sup>rd</sup>	Tranche 4 - \$300B	85 FR 17936	3/31/2020	9/1/2019	9903.88.44	20(ww)	9/1/2020	42219187	2624
4 <sup>th</sup>	Tranche 4 - \$300B	85 FR 28693	5/13/2020	9/1/2019	9903.88.47	20(zz)	9/1/2020	42837261	2722
5 <sup>th*</sup>	Tranche 4 - \$300B	85 FR 32098	5/28/2020	9/1/2019	9903.88.47 amendment	20(zz)	9/1/2020	N/A	N/A
5 <sup>th</sup> /6 <sup>th*</sup>	Tranche 4 - \$300B	85 FR 35975	6/12/2020	9/1/2019	9903.88.49	20(bbb)	9/1/2020	43134617	2770
7 <sup>th*</sup>	Tranche 4 - \$300B	85 FR 41658	7/10/2020	9/1/2019	9903.88.51	20(ddd)	9/1/2020	43401456	2808
8 <sup>th*</sup>	Tranche 4 - \$300B	85 FR 44563	7/23/2020	9/1/2019	9903.88.53	20(fff)	9/1/2020	43534641	2820
9 <sup>th*</sup>	Tranche 4 - \$300B	85 FR 48627	8/11/2020	9/1/2019	9903.88.55	20(hhh)	9/1/2020	43656381	2843
10 <sup>th*</sup>	Tranche 4 - \$300B	85 FR 54616	9/2/2020	9/1/2020	9903.88.57 extension	20(iii)	12/31/2020	44016918	2900
11 <sup>th*</sup>	Tranche 4 - \$300B	85 FR 85831	12/29/2020	1/1/2021	9903.88.65	20(rrr)	3/31/2021	45318223	3103

NOTE: Please refer to the corresponding FRN notice to determine the appropriate effective and expiration dates.

(\*) Represents the product exclusion round number in USTR's numbering system. CBP will adopt this numbering system moving forward.