

**SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA – Additional Duties Assessed**

Action	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	Duty	CSMS	CAMS
\$34B Action	Tranche 1	83 FR 28710	6/20/2018	7/6/2018	9903.88.01	Plus 25%	18-000409	None
\$16B Action	Tranche 2	83 FR 40823	8/16/2018	8/23/2018	9903.88.02	Plus 25%	18-000493	None
\$200B Action	Tranche 3	83 FR 47974	9/21/2018	9/24/2018	9903.88.03	Plus 10%	18-000554	None
					9903.88.04			
\$200B Action	Tranche 3	84 FR 20459	5/9/2019	5/10/2019	9903.88.03	Plus 25%	19-000238	2264
					9903.88.04			
\$200B Action	Tranche 3 “On the Water Provision“	84 FR 21892	5/15/2019	05/10/2019 before 6/15/2019	9903.88.09	Plus 10%	19-000238	2264
		84 FR 26930 (Date Extension)	6/10/2019				19-000274	2288
\$300B Action	Tranche 4	84 FR 43304	8/20/2019	9/1/2019	9903.88.15 <i>Annex A</i>	Plus 10%	39473933	2372
				12/15/2019	9903.88.16 <i>Annex C</i>			
\$300B Action	Tranche 4	84 FR 45821	8/30/2019	9/1/2019	9903.88.15	Plus 15%	39587690	2383
		85 FR 3714	1/22/2020	2/14/2020	<i>Annex A</i>	Plus 7.5%	41538917	2537
		85 FR 3714	1/22/2020	12/15/2019	9903.88.16 <i>Annex C</i>	<i>Suspended</i>	41538917	2537

The reference to “sets” in 84 FR 49591 pertains to so-called “GRI 1 sets” Sets included in Tranche 3 or Tranche 4 – which are provisions in the HTSUS that identify a specific type of “set” (e.g. tool sets of heading 8206). If both the set and the component(s) are subject to Section 301 duties the below HTS# will be transmitted with the component which has the highest duty rate; thus applying the Tranche rate specific to the component and not the set.

Sets/Tranche 3	Tranche 1	84 FR 49591	9/20/2019	9/24/2018	9903.88.21	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 2	84 FR 49591	9/20/2019	9/24/2018	9903.88.22	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 3	84 FR 49591	9/20/2019	9/24/2018	9903.88.23	Plus 25% <i>Plus 10% if “on the water”</i>	40003027	2416
Sets/Tranche 3	Tranche 4	84 FR 49591	9/20/2019	9/24/2018	9903.88.24	Plus 15%	40003027	2416
		85 FR 3714	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537
Sets/Tranche 4	Tranche 1	84 FR 49591	9/20/2019	9/1/2019	9903.88.25	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 2	84 FR 49591	9/20/2019	9/1/2019	9903.88.26	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 3	84 FR 49591	9/20/2019	9/1/2019	9903.88.27	Plus 25% <i>Plus 10% if “on the water”</i>	40003027	2416
Sets/Tranche 4	Tranche 4	84 FR 49591	9/20/2019	9/1/2019	9903.88.28	Plus 15%	40003027	2416
		85 FR 3714	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537

**SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA - Product Exclusions Granted**

<b>Round</b>	<b>Tranche</b>	<b>Federal Register Notice</b>	<b>Publication Date</b>	<b>Effective Date</b>	<b>HTS</b>	<b>CSMS</b>	<b>CAMS</b>
1 <sup>st</sup>	Tranche 1 - \$34B	83 FR 67463	12/28/2018	7/6/2018	9903.88.05	19-000052	2116
		84 FR 70616	12/23/2019		9903.88.05 extension	41167371	
2 <sup>nd</sup>	Tranche 1 - \$34B	84 FR 11152	3/25/2019	7/6/2018	9903.88.06	19-000155	2208
		85 FR 15849	3/19/2020		9903.88.06 extension	42180527	2618
3 <sup>rd</sup>	Tranche 1 - \$34B	84 FR 16310	4/18/2019	7/6/2018	9903.88.07	19-000212	2244
		85 FR 20332	4/10/2020		9903.88.07 extension	42355914	2649
4 <sup>th</sup>	Tranche 1 - \$34B	84 FR 21389	5/14/2019	7/6/2018	9903.88.08	19-000244	2268
		85 FR 29503	5/15/2020		9903.88.08 extension	42839255	2723
5 <sup>th</sup>	Tranche 1 - \$34B	84 FR 25895	6/11/2019	7/6/2018	9903.88.10	19-000332	2318
		85 FR 33775	6/2/2020	6/4/2020	9903.88.50 extension	43043838	2749
6 <sup>th</sup>	Tranche 1 - \$34B	84 FR 32821	7/9/2019	7/6/2018	9903.88.11	38840764	2328
		85 FR 41267	7/9/2020	7/9/2020	9903.88.52 extension	43400564	2807
7 <sup>th</sup>	Tranche 1 - \$34B	84 FR 49564	9/20/2019	7/6/2018	9903.88.14	40002982	2415
8 <sup>th</sup>	Tranche 1 - \$34B	84 FR 52567	10/02/2019	7/6/2018	9903.88.19	40330403	2446
9 <sup>th</sup> *	Tranche 1 - \$34B	84 FR 69016	12/17/2019	7/6/2018	9903.88.19 amendment	N/A	N/A
10 <sup>th</sup> *	Tranche 1 - \$34B	85 FR 7816	2/11/2020	7/6/2018	9903.88.19 amendment	N/A	N/A
1 <sup>st</sup>	Tranche 2 - \$16B	84 FR 37381	7/31/2019	8/23/2018	9903.88.12	39169565	2350
2 <sup>nd</sup>	Tranche 2 - \$16B	84 FR 49600	9/20/2019	8/23/2018	9903.88.17	40001360	2414
3 <sup>rd</sup>	Tranche 2 - \$16B	84 FR 52553	10/02/2019	8/23/2018	9903.88.20	40208881	2429
1 <sup>st</sup>	Tranche 3 - \$200B	84 FR 38717	8/7/2019	9/24/2018	9903.88.13	39268267	2357
2 <sup>nd</sup>	Tranche 3 - \$200B	84 FR 49591	9/20/2019	9/24/2018	9903.88.18	40003027	2416
3 <sup>rd</sup>	Tranche 3 - \$200B	84 FR 57803	10/28/2019	9/24/2018	9903.88.33	40564257	2456
4 <sup>th</sup>	Tranche 3 - \$200B	84 FR 61674	11/13/2019	9/24/2018	9903.88.34	40710742	2466
5 <sup>th</sup>	Tranche 3 - \$200B	84 FR 65882	11/29/2019	9/24/2018	9903.88.35	40901928	2479
6 <sup>th</sup>	Tranche 3 - \$200B	84 FR 69012	12/17/2019	9/24/2018	9903.88.36	41052773	2496
7 <sup>th</sup>	Tranche 3 - \$200B	85 FR 549	1/06/2020	9/24/2018	9903.88.37	41702837	2563
8 <sup>th</sup>	Tranche 3 - \$200B	85 FR 6674	2/5/2020	9/24/2018	9903.88.38	41834749	2573
9 <sup>th</sup>	Tranche 3 - \$200B	85 FR 9921	2/20/2020	9/24/2018	9903.88.40	41998518	2592
10 <sup>th</sup>	Tranche 3 - \$200B	85 FR 15015	3/16/2020	9/24/2018	9903.88.41	42048963	2598

11 <sup>th</sup>	Tranche 3 - \$200B	85 FR 17158	3/26/2020	9/24/2018	9903.88.43	42181055	2619
12 <sup>th</sup>	Tranche 3 - \$200B	85 FR 23122	4/24/2020	9/24/2018	9903.88.45	42566220	2681
13 <sup>th</sup>	Tranche 3 - \$200B	85 FR 27489	5/08/2020	9/24/2018	9903.88.46	42693720	2707
14 <sup>th</sup>	Tranche 3 - \$200B	85 FR 32094	5/28/2020	9/24/2018	9903.88.48	43044185	2750
1 <sup>st</sup>	Tranche 4 - \$300B	85 FR 13970	3/10/2020	9/1/2019	9903.88.39	41955151	2588
2 <sup>nd</sup>	Tranche 4 - \$300B	85 FR 15244	3/17/2020	9/1/2019	9903.88.42	42049352	2599
3 <sup>rd</sup>	Tranche 4 - \$300B	85 FR 17936	3/31/2020	9/1/2019	9903.88.44	42219187	2624
4 <sup>th</sup>	Tranche 4 - \$300B	85 FR 28693	5/13/2020	9/1/2019	9903.88.47	42837261	2722
5 <sup>th</sup> *	Tranche 4 - \$300B	85 FR 32098	5/28/2020	9/1/2019	9903.88.47 amendment	N/A	N/A
5 <sup>th</sup> /6 <sup>th</sup> *	Tranche 4 - \$300B	85 FR 35975	6/12/2020	9/1/2019	9903.88.49	43134617	2770
7 <sup>th</sup> *	Tranche 4 - \$300B	85 FR 41658	7/10/2020	9/1/2019	9903.88.51	43401456	2808

NOTE: Please refer to the corresponding FRN notice to determine the appropriate effective and expiration dates.

(\*) Represents the product exclusion round number in USTR's numbering system. CBP will adopt this numbering system moving forward.