

**SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA – Additional Duties Assessed**

Action	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	Duty	CSMS	CAMS
\$34B Action	Tranche 1	83 FR 28710	6/20/2018	7/6/2018	9903.88.01	Plus 25%	18-000409	None
\$16B Action	Tranche 2	83 FR 40823	8/16/2018	8/23/2018	9903.88.02	Plus 25%	18-000493	None
\$200B Action	Tranche 3	83 FR 47974	9/21/2018	9/24/2018	9903.88.03	Plus 10%	18-000554	None
					9903.88.04			
\$200B Action	Tranche 3	84 FR 20459	5/9/2019	5/10/2019	9903.88.03	Plus 25%	19-000238	2264
					9903.88.04			
\$200B Action	Tranche 3 “On the Water Provision“	84 FR 21892	5/15/2019	05/10/2019 thru 6/15/2019	9903.88.09	Plus 10%	19-000238	2264
		84 FR 26930 (Date Extension)	6/10/2019				19-000274	2288
\$300B Action	Tranche 4	84 FR 43304	8/20/2019	9/1/2019	9903.88.15 <i>Annex A</i>	Plus 10%	39473933	2372
				12/15/2019	9903.88.16 <i>Annex C</i>			
\$300B Action	Tranche 4	84 FR 45821	8/30/2019	9/1/2019	9903.88.15	Plus 15%	39587690	2383
		85 FR 3714	1/22/2020	2/14/2020	<i>Annex A</i>	Plus 7.5%	41538917	2537
		85 FR 3714	1/22/2020	12/15/2019	9903.88.16 <i>Annex C</i>	<i>Suspended</i>	41538917	2537

The reference to “sets” in 84 FR 49591 pertains to so-called “GRI 1 sets” Sets included in Tranche 3 or Tranche 4 – which are provisions in the HTSUS that identify a specific type of “set” (e.g. tool sets of heading 8206). If both the set and the component(s) are subject to Section 301 duties the below HTS# will be transmitted with the component which has the highest duty rate; thus applying the Tranche rate specific to the component and not the set.

Sets/Tranche 3	Tranche 1	84 FR 49591	9/20/2019	9/24/2018	9903.88.21	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 2	84 FR 49591	9/20/2019	9/24/2018	9903.88.22	Plus 25%	40003027	2416
Sets/Tranche 3	Tranche 3	84 FR 49591	9/20/2019	9/24/2018	9903.88.23	Plus 25% <i>Plus 10% if “on the water”</i>	40003027	2416
Sets/Tranche 3	Tranche 4	84 FR 49591	9/20/2019	9/24/2018	9903.88.24	Plus 15%	40003027	2416
		85 FR 3714	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537
Sets/Tranche 4	Tranche 1	84 FR 49591	9/20/2019	9/1/2019	9903.88.25	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 2	84 FR 49591	9/20/2019	9/1/2019	9903.88.26	Plus 25%	40003027	2416
Sets/Tranche 4	Tranche 3	84 FR 49591	9/20/2019	9/1/2019	9903.88.27	Plus 25% <i>Plus 10% if “on the water”</i>	40003027	2416
Sets/Tranche 4	Tranche 4	84 FR 49591	9/20/2019	9/1/2019	9903.88.28	Plus 15%	40003027	2416
		85 FR 3714	1/22/2020	2/14/2020		Plus 7.5%	41538917	2537

SUMMARY OF SECTION 301 DUTIES ON PRODUCTS OF CHINA - Product Exclusions Granted							
Round	Tranche	Federal Register Notice	Publication Date	Effective Date	HTS	CSMS	CAMS
1 <sup>st</sup>	Tranche 1 - \$34B	83 FR 67463	12/28/2018	7/6/2018	9903.88.05	19-000052	2116
		84 FR 70616	12/23/2019			41167371	
2 <sup>nd</sup>	Tranche 1 - \$34B	84 FR 11152	3/25/2019	7/6/2018	9903.88.06	19-000155	2208
		85 FR 15849	3/19/2020			42180527	2618
3 <sup>rd</sup>	Tranche 1 - \$34B	84 FR 16310	4/18/2019	7/6/2018	9903.88.07	19-000212	2244
		85 FR 20332	4/10/2020			42355914	2649
4 <sup>th</sup>	Tranche 1 - \$34B	84 FR 21389	5/14/2019	7/6/2018	9903.88.08	19-000244	2268
5 <sup>th</sup>	Tranche 1 - \$34B	84 FR 25895	6/11/2019	7/6/2018	9903.88.10	19-000332	2318
6 <sup>th</sup>	Tranche 1 - \$34B	84 FR 32821	7/9/2019	7/6/2018	9903.88.11	38840764	2328
7 <sup>th</sup>	Tranche 1 - \$34B	84 FR 49564	9/20/2019	7/6/2018	9903.88.14	40002982	2415
8 <sup>th</sup>	Tranche 1 - \$34B	84 FR 52567	10/02/2019	7/6/2018	9903.88.19	40330403	2446
1 <sup>st</sup>	Tranche 2 - \$16B	84 FR 37381	7/31/2019	8/23/2018	9903.88.12	39169565	2350
2 <sup>nd</sup>	Tranche 2 - \$16B	84 FR 49600	9/20/2019	8/23/2018	9903.88.17	40001360	2414
3 <sup>rd</sup>	Tranche 2 - \$16B	84 FR 52553	10/02/2019	8/23/2018	9903.88.20	40208881	2429
1 <sup>st</sup>	Tranche 3 - \$200B	84 FR 38717	8/7/2019	9/24/2018	9903.88.13	39268267	2357
2 <sup>nd</sup>	Tranche 3 - \$200B	84 FR 49591	9/20/2019	9/24/2018	9903.88.18	40003027	2416
3 <sup>rd</sup>	Tranche 3 - \$200B	84 FR 57803	10/28/2019	9/24/2018	9903.88.33	40564257	2456
4 <sup>th</sup>	Tranche 3 - \$200B	84 FR 61674	11/13/2019	9/24/2018	9903.88.34	40710742	2466
5 <sup>th</sup>	Tranche 3 - \$200B	84 FR 65882	11/29/2019	9/24/2018	9903.88.35	40901928	2479
6 <sup>th</sup>	Tranche 3 - \$200B	84 FR 69012	12/17/2019	9/24/2018	9903.88.36	41052773	2496
7 <sup>th</sup>	Tranche 3 - \$200B	85 FR 549	1/6/2020	9/24/2018	9903.88.37	41702837	2563
8 <sup>th</sup>	Tranche 3 - \$200B	85 FR 6674	2/5/2020	9/24/2018	9903.88.38	41834749	2573
9 <sup>th</sup>	Tranche 3 - \$200B	85 FR 9921	2/20/2020	9/24/2018	9903.88.40	41998518	2592
10 <sup>th</sup>	Tranche 3 - \$200B	85 FR 15015	3/16/2020	9/24/2018	9903.88.41	42048963	2598
11 <sup>th</sup>	Tranche 3 - \$200B	85 FR 17158	3/26/2020	9/24/2018	9903.88.43	42181055	2619
12 <sup>th</sup>	Tranche 3 - \$200B	85 FR 23122	4/24/2020	9/24/2018	9903.88.45	42566220	2681
1 <sup>st</sup>	Tranche 4 - \$300B	85 FR 13970	3/10/2020	9/1/2019	9903.88.39	41955151	2588
2 <sup>nd</sup>	Tranche 4 - \$300B	85 FR 15244	3/17/2020	9/1/2019	9903.88.42	42049352	2599
3 <sup>rd</sup>	Tranche 4 - \$300B	85 FR 17936	3/31/2020	9/1/2019	9903.88.44	42219187	2624

NOTE: As per USTR, all product exclusions apply beginning with the effective date of the Tranche and will extend for one year after the publication of the FRN granting the product exclusion, except for 9903.88.18, 9903.88.33 through 9903.88.38, 9903.88.40 and 9903.88.43 which currently applies to Tranche 3 with the effective date of September 24, 2018 and with an expiration date of August 7, 2020.