

Local Authority assessments webinar

Mary Cridge, Director of Adult Social Care

Thursday 13 April

Meet the webinar team

- **Dave James**, Head of Adult Social Care Policy
- **Jeanette Blackburn**, Regulatory Policy Manager
- **Amanda Stride**, Deputy Director - Local Authority Assessment Delivery
- **Sam Wallace**, Provider Engagement Lead
- **Jen Charlton**, Provider Engagement Lead
- **Elin Sams**, Senior Communication and Engagement Advisor
- **Abigail Walker**, Communications and Engagement Manager
- **Steph Lowe**, Events Officer

CQC's April webinar series

13 April (today)

Local Authority assessments

This webinar will focus on our approach to assessing local authorities and what this means for you.

13 April (today, 2-3pm)

CQC's new regulatory approach

This webinar we'll be updating on our implementation timeline for our new regulatory approach and new provider portal.

17 April

Integrated care system assessments

This webinar will update on our approach to assessing integrated care systems

What we will cover today

- Our new powers
- Recap on the test and learn activities
- Starting formal assessments
- Timeline and next steps
- Q&A
- Next steps



Our approach to assessing local authorities

New powers

CQC has new roles:



- The Health and Care Act gives CQC a role in reviewing **integrated care systems**
- It also gives CQC a new duty to assess how **local authorities** are meeting their social care duties under part 1 of the Care Act

These will allow us to look more effectively at how care provided in a local system is improving outcomes and reducing inequalities.

We've engaged over the last year on how we'll do this. We want to bring together a view of quality across a local area and put people at the centre of driving improvement in care.

A single assessment framework

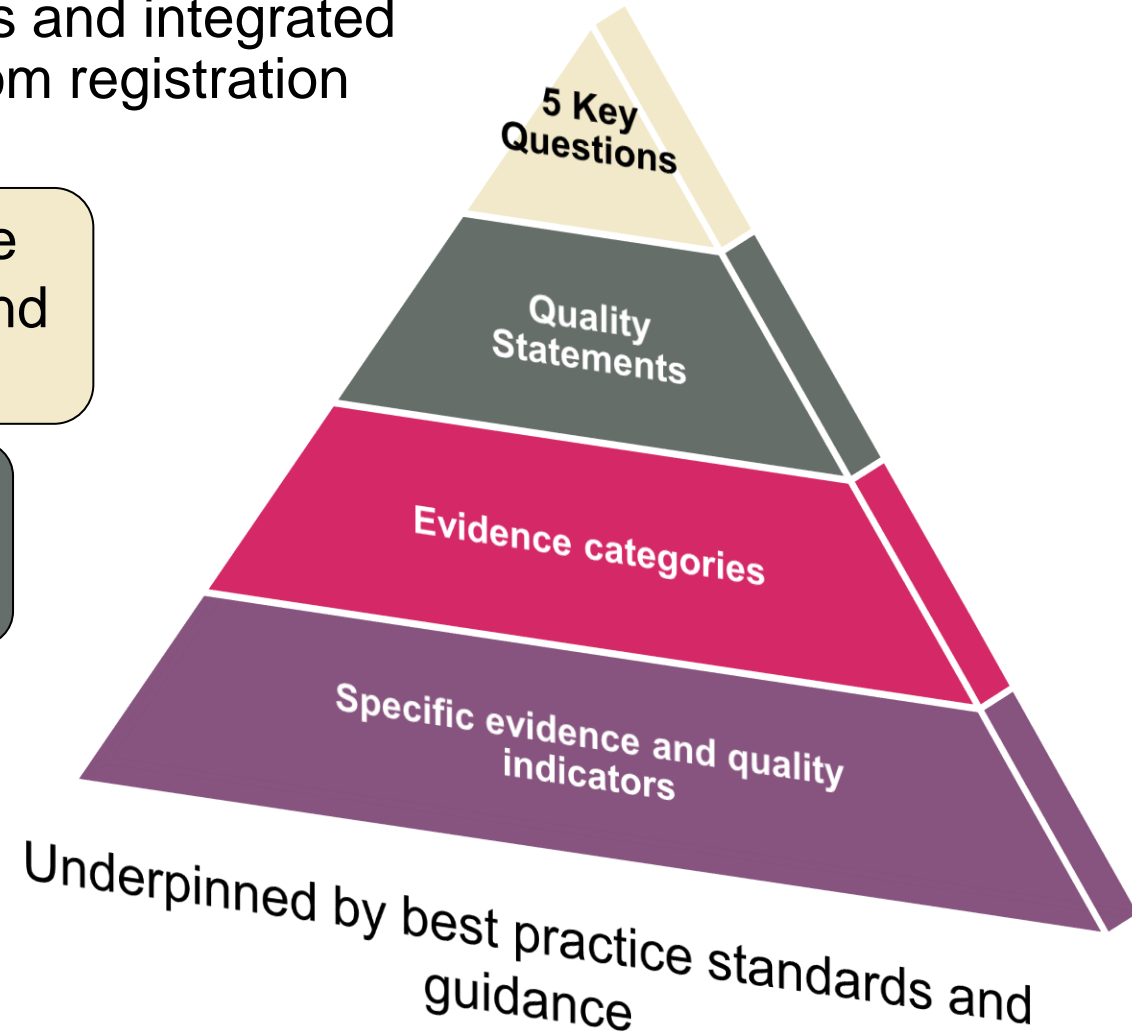
Our framework will assess providers, local authorities and integrated care systems with a consistent set of key themes, from registration through to ongoing assessment

Aligned with “I” statements, based on what people expect and need, to bring these questions to life and as a basis for gathering structured feedback

Expressed as “We” statements; the standards against which we hold providers, Local Authorities and Integrated Care Systems to account

People’s experience, feedback from staff and leaders, feedback from partners, observation, processes, outcomes

Data and information specific to the scope of assessment, delivery model or population group



Working in partnership

To design these assessments and the single assessment framework, we are working in partnership with:

- People who use health and social care services and their families
- Providers
- Department of Health and Social Care (DHSC)
- NHS England
- Provider representatives
- Local government (LGA, ADASS)
- Integrated care systems
- Other strategic partners

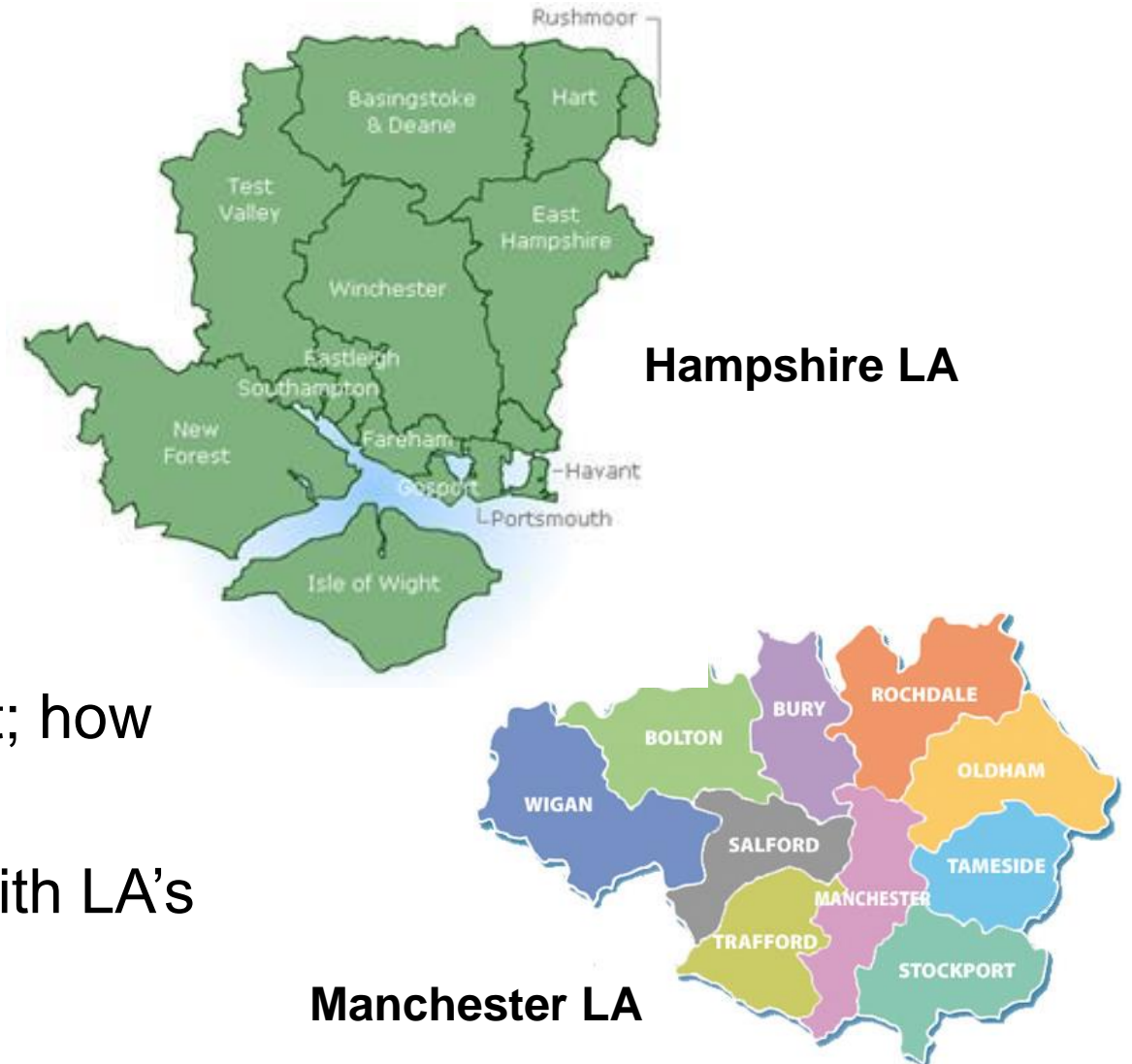
Test and learn activities – what we did

Local Authority Assessment (LAA)

Test and learn activity in Hampshire and Manchester

We focused on two themes:

- 1) How local authorities work with people
 - 2) Leadership
- Tested key aspects of the full assessment; how will it work and how long will it take?
 - Produced a short report that we shared with LA's and scored against the statements



Local authority assessment interim guidance

Our interim guidance, which is currently subject to government approval, sets out the high-level framework for our oversight of local authorities focusing on an initial baselining period.

The guidance includes:

- Information on nine quality statements grouped under four themes that we'll use in our assessments
- how we will assess local authorities
- the evidence categories we'll use
- how we'll report and share information following assessments

We expect to expand and update this interim guidance as we develop our model.



Local authority assessment - April to September 2023

- From April, we will be reviewing published evidence and data, across all local authorities, focusing on two quality statements
 - Care provision, integration and continuity
 - Assessing needs
- Looking mainly at data already being published such as Market Position Statements and Joint Strategic Needs Assessment for example
- We are drawing out themes and insight on; access, commissioning, market shaping, workforce and personalisation
- We will publish our findings at aggregate level



Local authority assessment pilots

Alongside the review of published evidence, we will run **full end to end pilots** of our assessment approach for up to 5 LAs between April and September 2023:

- All 9 quality statements
- Following a **quality assurance and moderation process, publish the pilot assessment reports in the Autumn**
- Ratings will be **clearly badged as 'indicative'**

We have invited all local authorities to consider volunteering for pilot assessments – a number have already expressed interest.

We will check the pool of volunteers against set criteria to select the final pilots

Proposed selection criteria

- LA structures: unitary, county, metropolitan, London borough
- Geographical location
- Mix of rural, urban
- Terminosity with ICS
- Will include some who have embraced self-assessment and/or peer review
- Political make up of councils will be considered to achieve a balanced and fair cross section
- Will take into account local elections and where other regulatory activity is happening (eg Ofsted)

Start of formal assessments – From Autumn 2023

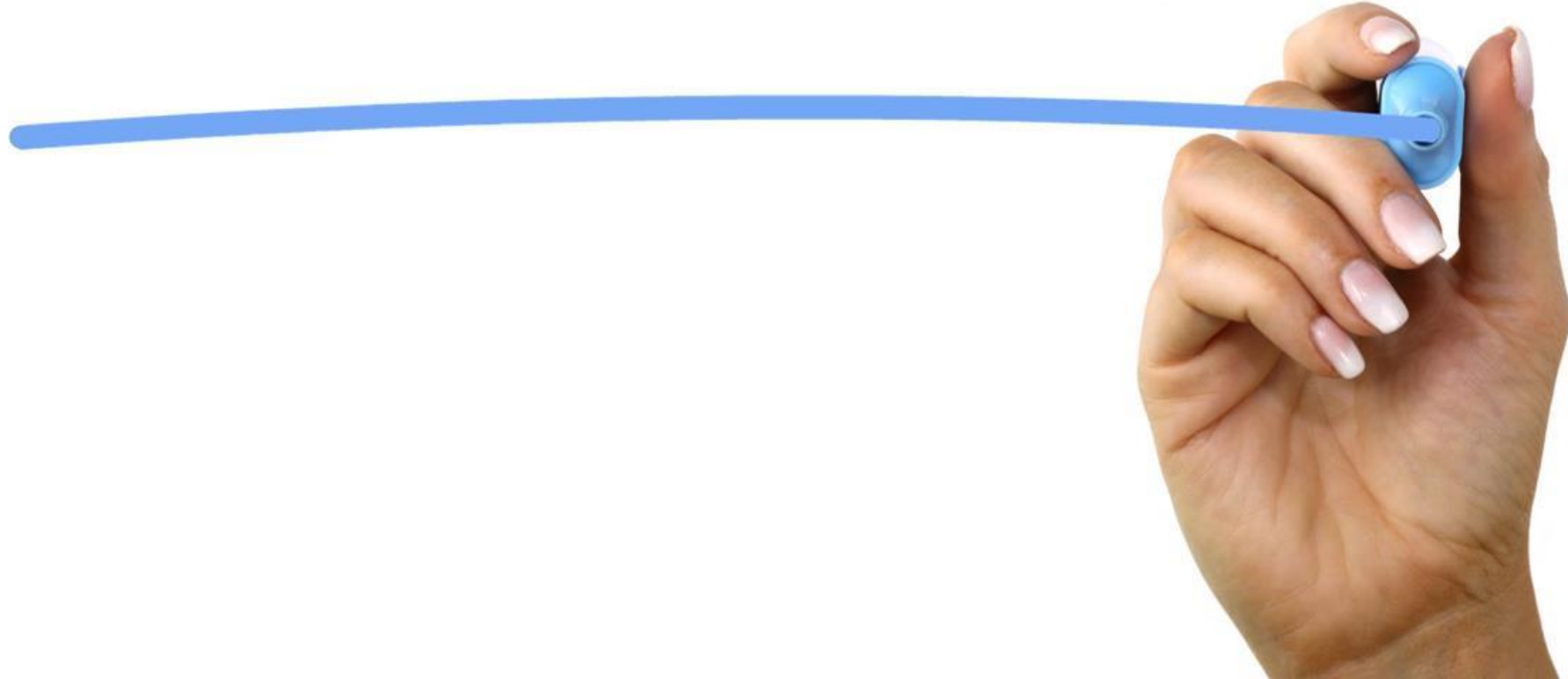
- Assessing local authorities is a new duty for CQC
- Before we move to ongoing assessments, we need to establish a starting point
- We will start formal assessments of all local authorities and aim to carry out 20 assessments from the autumn
- We will continue to carry out formal assessments and report as published individual ratings from early 2024 onwards



Source: NHS
Confederation

Coming soon: We are working closely with DHSC on our approach to implementing integrated care system assessments and seeking approval from DHSC on our approach.

QUESTIONS



How to stay up to date



Get involved on our digital platform

Continue the conversation

Sign up here: <https://cqc.citizenlab.co/en-GB/>



Provider bulletin and blogs

- Sign up for your sector bulletin here:
<https://www.cqc.org.uk/news/newsletters-alerts/email-newsletters-cqc>
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