

# Care Act Stocktake 2 (Autumn 2014)

Question	Answer
<b>A - PROGRAMME MANAGEMENT AND GOVERNANCE</b>	
Name of person submitting data	James Martin
Role of person submitting data	Social Care Commissioning Policy Officer
Name of council	Devon
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Q1) Overall, in your opinion, would you say your council is on track with its plans to deliver the necessary changes resulting from the Care Act in 2015/16?	Yes, we are currently on track
Q2) When, if at all, will the risks associated with your council's plan be included within your council's corporate risk management systems?	By January 2015
Q3) In your council, how aware are the following people/groups about the challenges, risks and progress associated with delivering the Care Act?	
Chief Executive	Very aware
Executive Board or Cabinet	Very aware
Council Leader	Very aware
Other Council Members	Fairly aware
Corporate Leadership Team	Very aware
Health and Wellbeing Board	Fairly aware
Council Adult Social Care Staff	Very aware
<b>B - PEOPLE</b>	
Q4) When does your council expect to have a working estimate of the number of self-funders (both homecare and residential), and the number of self-funders who will present themselves in your area?	
Total number of self-funders	We already have a working estimate
Number of self-funders who will present themselves	By January 2015
Q5) Which model, method or calculation is your council using to identify the likely number of self-funders in your area:	Historic data,In-house mapping ,Partnership working (e.g. asking providers)
Other	
Q6) If possible, please specify the number of self-funders (both homecare and residential) you estimate in your area for 2015/2016:	5100
Q7) What assumptions are your council using to	

forecast the number of people who may present themselves for a needs and means assessment in 2015/16 to start their care account?	FACS eligible self funders +50%
Q8) If possible, please specify the total number of self-funders in your area who you estimate will present themselves for a needs and means assessment in 2015/16 to start their care account:	7500
<b>B2 - Meeting Duties for Carers' Assessment</b>	
Q9) When does your council expect to have a working estimate of the increase in likely requests for carers' assessment in your area?	By November 2014
Q10) Which model, method or calculation is your council using to estimate the number of requests for a carer's assessment?	Census data ,Carers' organisations (e.g. Carers' Centre),Current assessments and requests ,Other (please specify)
Other	local demand indicators
Q11) If possible, please indicate how many requests for carers' assessments your council estimates it will receive in 2015/16:	4000
Q12) When does your council expect to make a decision about how it will meet increased demand for carers' assessments (e.g. in-house, or via an external provider, online self-assessment, enhanced information and advice, or a mixed approach)?	Don't know
<b>B3 - Preventing Needs for Care and Support</b>	
Q13) When will your council have arrangements in place to identify and support people who would benefit from preventative services?	
Identify people who would benefit from preventative services	By January 2015
Support people who would benefit from preventative services	By April 2015
Q14) What are the main preventative services/schemes that will be available in your area from April 2015 that will meet the new duties within the Care Act?	
Name of service/scheme	
1	Time for life - community enabling
2	Community Networks
3	General & Health Complaints Advocacy / Independent MH Advocacy / Independent Mental Capacity Advocacy
1	Existing
2	Existing
3	Existing

<b>B4 - Provision of Information and Advice and Advocacy</b>	
Q15) In your council, when will the following take place in relation to changes to information, and advice and advocacy, for implementation of the Care Act in 2015?	
Setting-up a comprehensive universal information and advice service that includes the wider aspects of care and support	Already complete
Setting-up an online information and advice service	Already complete
Setting-up signposts to independent financial advice to help people plan their future care and support	Already complete
Setting-up an appropriate level of local advocacy services	Already complete
<b>B5 - Prisoners</b>	
Q16) Are there any prisons, or other approved accommodation, located within your council?	Yes
Q16a) When does your council expect to have a working estimate of the number of prisoners who are likely to request assessments, reviews and/or services?	By November 2014
Q16b) If possible, please specify how many prisoners your council estimates it will receive in 2015/16 for assessments, reviews and/or services?	DK
Q16c) When will the following arrangements take place in relation to assessments, reviews and services for prisoners for implementation of the Care Act in 2015?	
Contact made by your council with prisons/approved premises senior management	We already have arrangements in place
Joint working arrangements made by your council with prisons, probation services and NHS England to share information to inform the commissioning of appropriate services	By November 2014
A referral and assessment process made within your council for prisoners, and approved premises residents, that takes account of security constraints	By January 2015
Agreement within your council on the model or approach to deliver care and support services in prisons and approved premises	By November 2014
<b>C - MONEY</b>	
Q17) When does your council anticipate having an estimate of the total likely costs of implementing the Care Act in 2015/16?	We already have a working estimate

Q18) Which model, method or calculation is your council using to identify the cost of implementation of the Care Act in 2015/16?	Other (please specify)
Other	Detailed assumptions and standard costings
Q19) For your council, please specify the cost of implementing the Care Act in 2015/16 in the following areas:	
Additional assessments and reviews (including carers and early assessment of those people progressing towards the cap)	195000
New duties relating to prisoners (if applicable)	280000
Additional deferred payments	DK
Training and development	284000
Information and advice	620000
Additional carers' service	1647000
Other 1 (please specify)	636000
Other 2 (please specify)	939000
Other 3 (please specify)	
Q20a) If possible, please specify the <u>total cost</u> of implementing the Care Act in 2015/16 in your council (that is, the additional costs incurred due to the Act including the amounts given in the previous question):	8353000
Q20b) If possible, please specify the total estimated cost associated with demographic and other inflationary pressures in 2015/16, excluding new burdens arising from the Care Act (that is, the estimated increase in cost your council would have incurred even without the implementation of the Care Act):	16468000
Q21a) The government is consulting on distribution formulae for the Â£283.5m new burdens grant and has published exemplifications of allocations, which are in some cases substantially different from those illustrated in the December 2013 settlement. In your financial planning for 2015/16, how much reliance had you placed on the December 2013 figures?	Small amount of reliance
Q21b) Please use the box below to tell us anything further about the allocations proposed in the government's consultation on the new burdens grant:	Probably insufficient for assessments and reviews
Q22a) How much of your Better Care Fund (BCF) has been locally agreed to be spent in 2015/16 on the following?	
Protection of adult social care services	10000000
Carer specific support	3000000

Implementation of Care Act reforms	2000000
Q22b) As a result of policy changes to the Â£1bn performance pot, by what amount, if any, has your council's budget changed compared to your council's previous April 2014 Better Care Fund plan (that is, the amount by which it has increased or decreased)?	
Â£	0
Q22c) Is this an increase or a decrease, compared to previous April 2014 Better Care Fund plan?	No change
<b>C2 - Deferred Payments Agreements (DPA)</b>	
Q23) When does your council expect to have a working estimate of the likely increase in number of requests for deferred payments?	Later than April 2015
Q24) If possible, please specify the estimated number of <u>additional requests</u> for deferred payments in your council for the following years:	
2014/15	160
2015/16	160
Q25) When does your council expect to have the necessary back-office support in place to manage the increased number of deferred payments?	By April 2015
<b>D - SYSTEMS</b>	
Q26) How confident are you that your council's financial and IT systems will be adequate to manage the statutory duties from 2015?	Fairly confident
Q27) Who is your council's case records management IT system supplier?	Carefirst
Other	
Q28) Which version of software is your council currently using to manage its case records?	6.11
Q29) Has your council recently retendered, or does it plan to retender, its case records management IT system with a new supplier?	No
Q30) When will the following take place in relation to changes to case records management IT systems for implementation of the Care Act?	
2015 Changes	
Suppliers engaged with for changes in 2015	By November 2014
Systems put in place to handle anticipated volume of Deferred Payment Agreements (DPAs), including compound interest calculations	By April 2015
System put in place to cope with anticipated increase in carers assessments and support planning	By April 2015

Resources scheduled for reconfiguring systems	By April 2015
2016 Changes	
Changes scoped for case management systems for 2016	Later than April 2015
Suppliers engaged with for changes in 2016	By November 2014
<b>D2 - Workforce</b>	
Q31) How confident are you that your council's workforce (both in- house and external within providers) will be sufficiently prepared for implementation of the Care Act in 2015?	
Workforce within the council	Fairly confident
Workforce within providers	Fairly confident
Q32) How confident are you that any the workforce changes made by your council will be adequate to manage the statutory duties in 2015?	Fairly confident
Q33) When will the following changes take place in relation to changes to workforce capacity and training for implementation of the Care Act in 2015?	
Analysis of the implications of the Care Act for the workforce	By November 2014
Analysis of the gaps in workforce capacity (both council and external providers)	By January 2015
Reallocation or recruitment of the workforce to meet new duties	By January 2015
Analysis of which parts of the workforce require training and/or development	By January 2015
<b>E - COMMUNICATIONS STRATEGY AND PLANNING</b>	
Q34) By when will your council have communicated the implications of the Care Act to key external partners (including NHS, third sector and health and care providers)?	By January 2015
Q35) In your opinion, how confident are you that your local partners understand the impact the Care Act will have on them?	
Voluntary and community sector	Fairly confident
Care service providers	Fairly confident
Clinical Commissioning Groups	Fairly confident
NHS Providers	Fairly confident
NHS Area Teams	Fairly confident
Prisons and probation services (please leave blank if not applicable)	Fairly confident
Q36) In your opinion, how confident are you that the following people/groups are sufficiently	

aware of the impact the Care Act reforms will have on them?	
Service users(current)	Not very confident
Service users (future)	Not very confident
Carers (current)	Not very confident
General public	Not very confident
Local MP	Fairly confident
Q37) Which methods and channels of communication, if any, are being used to inform residents about the impact the Care Act reforms will have on them?	
Service users (current)	
Service users (future)	
Carers (current)	Other (please specify),Via local carers' and support networks
General public	Website
Local MP	
Other methods	workshops
Other channels	
<b>F - MARKET SHAPING AND COMMISSIONING</b>	
Q38) When does your council estimate it will have a strategic commissioning plan in place to which delivers the duties in the Act and ensures effective provision of care and support for the future (aligned with NHS providers and commissioners)?	We have already have a commissioning strategy in place
<b>F2 - Market shaping</b>	
Q39) When will your council have published a market position statement/s or equivalent that covers all service users and commissioned services?	We have already published a market position statement/s
Q40) How confident are you that your council's market-shaping and commissioning function will enable it to deliver the following duties within the Care Act from April 2015?	
Improved outcomes and wellbeing of the local population	Fairly confident
Sustainable services for the future	Fairly confident
Provision of choice to local people	Fairly confident
Integrated care and support with health and other key services locally	Very confident
<b>G - SUPPORT</b>	
Q41) Overall, how much progress has your council made in preparing for the implementation of the Care Act?	Moderate progressÂ Â
Q42) At this time, how confident are you that your	

council will be able to deliver the Care Act reforms required from April 2015?	Very confident
Q43) In your opinion, what are the main risks associated with delivering the Care Act reforms for your council?	Uncertainty about additional demand from self-funders, Uncertainty about additional demand from carers, Impact on local provider market, Total implementation costs for 2015/16, Total implementation costs for 2016/17, Public expectation (including legal challenges)
Other	
Other	
Other	
Q44) Of the risks you identified, which is the greatest for your council?	Uncertainty about additional demand from self-funders
<b>G2 - Support</b>	
Q45) Are you aware of the implementation support tools that have been, or are being developed, nationally?	Yes
Q45a) Which tools and guidance, if any, have advanced your confidence or preparations to deliver the Care Act reforms locally? (All tools and guidance listed are available via LGA's Care Support reform website unless otherwise stated.)	Governance and programme management: must knows, Care Act Clause Analysis, Department of Health Care Act Factsheets (via Department of Health website), LGA Briefing for Councillors, The Care Act and Prisoners – Implications for local authorities, Awareness Campaign – information on planned national activity and local resources, Department of Health draft regulations, guidance and information (via Department of Health website)
Other	
Q46) If possible, please briefly outline any specific additional support, guidance or information that would help increase your confidence in, or preparations for, implementing the Care Act:	
Q47) If possible, please outline any particular challenges, or areas of concern, in implementation for your council:	Actual volumes of carers assessments that we will need to undertake How the market will respond to transparency of prices
Q48) Please share details of any tools, resources or good practice examples that might benefit other local areas in implementing the Care Act:	
Q49) If your council is collaborating with other councils in preparing to deliver the Care Act, please give brief details:	
Name of council(s)	
1	Cornwall, Plymouth, Torbay
2	
3	
Details of collaborative work	
1	exploring collaborative opportunities around finance, self assessments, communications, eligibility
2	



3	
H) FEEDBACK AND SUPPORT	
Q50) Please use the box below to tell us any further relevant information, and to feedback on your experience of completing this survey, including any comments on specific questions. We will use this information to inform the next iteration of the stocktakes in January 2015	
Next steps	Continue to submission page (once you submit, you will receive a copy of your submission by email)