



USDA Horse Protection Act Disqualification and Civil Penalty List (as of September 11, 2015)

The following list identifies individuals and/or companies that have been assessed a Federal Disqualification under the Horse Protection Act. While under Federal Disqualification, a person is prohibited from showing, exhibiting or entering any horse, directly or indirectly through any agent, employee, or other device, and from judging, managing or otherwise participating in any horse show, horse exhibition or horse sale or auction. Please note that while the list below identifies the disqualification periods for the individuals and companies, the list is not controlling. The controlling documents setting forth the terms and dates of the Federal Disqualification are the signed Decisions and Orders, hyperlinked below.

Docket Number	Last Name	First Name	Action	CITY	STATE	Disq. Period	Start Date	End Date	CIVIL PENALTY	Notes	Date of Controlling Document
11-0367	Holley	Timothy Wayne	Default Decision and Order	Amory	MS	10 years	05/10/2017	05/09/2027	\$19,400		2/9/2012
06-0005	Holley	Timothy Wayne	Oral Decision and Order	Byhalia	MS	10 Years	05/10/2007	05/09/2017	\$145,511		2/9/2012
12-0466	McConnell	Jackie	Consent Decision	Collierville	TN	Permanent	08/27/2012	n/a	\$150,000***	*** Civil penalty held in abeyance provided no further violations of HPA	8/1/2012
12-0192	Sims	Terry Wayne	Default Decision	Louisville	KY	3 years	09/01/2012	08/31/2015	\$6,600	DQ continues until civil penalty paid in full.	7/20/2012
11-0311	Baucom	Jeanette	Consent Decision	Monroe	NC	5 years	01/07/2013	01/06/2018			12/31/2012

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13-0022	Chiappari	Michael	Consent Decision	Santa Rose	CA	3 years	12/02/2013	12/01/2016			11/22/2013
13-0297	Gray	Bill	Consent Decision	Shelbyville	TN	4 years	01/14/2014	01/13/2018	\$2,200		1/14/2014
13-0296	Gray	Tim	Consent Decision	Shelbyville	TN	4 years	01/13/2014	01/12/2018	\$2,200		1/9/2014
14-0057, 14-0058, 14-0059	West	Mark	Consent Decision	Manchester	TN	2 years	03/11/2014	03/10/2016			3/11/2014
13-0231, 13-0249	Dean	James Wayne	Consent Decision	Lewisburg	TN	2 years	01/27/2014	01/26/2016	\$3,500***	***All but \$1,100 held in abeyance provided no violation of Order or HPA for 3 years	1/23/2014
13-0309	Beaty	Michael Scott	Consent Decision	Byrdstown	TN	16 months	07/14/2014	11/13/2015	\$2,200	Settles all violations alleged to have occurred up to effective date of order	7/14/2014
13-0265	Baker	Megan	Consent Decision	Richmond	KY	8 months	4/15/2015	12/14/2015			4/6/2015
15-0040	Gower	Kevin	Consent Decision	Lewisburg	TN	3 years	04/15/2015	04/15/2018			3/31/2015
13-0232	Strickland	Alvin	Consent Decision	Edgefield	SC	1 year	03/01/2015	02/28/2016			3/12/2015

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13-0233	Strickland	Toni	Consent Decision	Edgefield	SC	1 year	03/01/2015	02/28/2016			3/12/2015
13-0364	Smith	Dennis	Consent Decision	Bloomington	IL	4 years	01/30/2015	01/29/2019		Respondent agreed to transfer ownership and possession of "Pusher's Shady Deal" and "Raising the Bar" within 90 days of effective date of order. Failure to transfer ownership and possession of horses will result in an additional four year disqualification	1/22/2015
14-0111	Dick	Rodney	Consent Decision	Unionville	TN	16 months	12/19/2014	04/18/2016	\$2,200		12/19/2014
14-0112	McDonald	Judy	Consent Decision	Tullahoma	TN	2 years	12/01/2014	11/30/2016			11/26/2014
14-0114	Beard	Heather	Consent Decision	Wartrace	TN	1 year	12/01/2014	11/30/2015			11/26/2014

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14-0121	Polk	David	Consent Decision	Midland	NC	1 year	05/05/2015	05/04/2016		David Polk, dba David Polk Stables	5/5/2015
15-0038	Smith	Dustin	Consent Decision	Alvaton	KY	4 years	05/01/2015	05/01/2019			4/29/2015
14-0110	Simpson	Andrew	Consent Decision	Indian Mound	TN	8 months	06/01/2015	01/31/2016			5/8/2015
14-0172	McWilliams	Matthew	Consent Decision	Burbon	MO	8 months	08/10/2015	04/10/2016		Last two months held in abeyance provided no further violation through 4/10/2016	08/10/2015
14-0171	Puckett	John	Consent Decision	Shelbyville	TN	8 months	09/05/2015	05/05/2016	\$1,100	\$1,100 held in abeyance provided no HPA violation during DQ period	09/03/2015